



Topical review

Placebo response in neuropathic pain trials[☆]Steve N. Quessy^{a,*}, Michael C. Rowbotham^b^a *qd Consulting, LLC, Durham, USA*^b *UCSF Pain Clinical Research Center, Department of Neurology, University of California, San Francisco, USA*

Received 26 June 2008; accepted 30 June 2008

1. Introduction

Variability in response to “placebo” complicates the design and interpretation of clinical trials. Clinical trials of antidepressants for major depression have been plagued by high placebo responses across clinical trials with the same drug and substantially similar designs. In some cases, placebo response rates have been as high as 50–70% [5,29]. As with outcome measures in depression, pain intensity and pain relief are highly subjective constructs and clinical trials of analgesics are also associated with high and variable placebo responses [12]. Because neuropathic pain appears to be less responsive to treatment, this type of pain has been considered less susceptible to placebo response (or to less extreme variability). However, recent experience in large randomized parallel group placebo-controlled trials in neuropathic pain seems to indicate otherwise [10].

The purpose of using a placebo control in clinical trials is to establish internal sensitivity of the trial to distinguish a true treatment effect from other factors that contribute to a response (generically referred to as “the placebo response”). These influences include expectation, conditioning, regression to the mean and environmental factors including random effects. It has generally been thought that an initial placebo response stabilizes after a number of weeks and that longer term trials should establish a lasting treatment

effect beyond placebo response [5,25]. Regulatory agencies, such as FDA and EMEA, require studies of 12-weeks duration for chronic pain, such as neuropathic pain, to demonstrate the durability of response. Furthermore, this duration of exposure needs to be at the target dose. For many drugs that are potential analgesics, an acclimatization period is needed to overcome tolerability (e.g., CNS) or other safety issues (e.g., toxicity with opioids) at the ultimate effective dose. The need for a post-randomization, double-blinded titration phase to reach a target dose can add many weeks to the duration of the trial. Clinical trial designs for gabapentin, pregabalin and duloxetine have accomplished attainment of target doses in a short period of time (usually 1 week or less). However, trials of topiramate, lamotrigine, oxcarbazepine and lacosamide have incorporated slow titration periods of 4–10 weeks resulting in total trial durations of 16–22 weeks. These longer duration trials are instructive for examining placebo response over an extended period of time.

We reviewed a number of studies in neuropathic pain with emphasis on long-term trials >12 weeks to assess the magnitude and time-course of the placebo response in randomized, parallel group placebo-controlled trials. This was not a systematic review. Only trials of oral treatment were considered. Trials of duration <4 weeks, crossover trials, trials that used ‘active’ placebo, or small trials with fewer than 30 subjects assigned to placebo were all excluded from consideration. A primary pain endpoint based on change from baseline using NRS (numeric rating scale) or VAS (visual analog scale) measures was required. The emphasis was on clinical trials that were intended to meet the stated goals of

[☆] This paper includes material presented in part at the 10th Mechanisms and Treatment of Neuropathic Pain conference, Snowbird Utah, November 3, 2007.

* Corresponding author. Tel.: +1 919 768 7764.

E-mail address: quessy@qd-consulting.com (S.N. Quessy).

Table 1
Responses to placebo in randomized, double-blind parallel design trials in neuropathic pain

Drug	Placebo group sample size	Endpoint week	Mean % change from baseline*	Proportion of responders ($\geq 50\%$ pain reduction)	Proportion discontinuing for lack of efficacy	Ref.
Lamotrigine-30004	85	19	35%	27%	n/a	[28] ^a
Lacosamide-614	59	10	34%	n/a	6.8%	[16]
Duloxetine HMAW	116	12	33%	26%	3.5%	[8] ^b
Topiramate-003	126	18	32%	n/a	21%	[25]
Oxcarbazepine	70	16	31%	n/a	4.3%	[9]
Pregabalin-040	81	8	29%	30%	11.1%	^{cd}
Topiramate-002	119	22	28%	n/a	24%	[28]
Lacosamide-768	64	18	27%	27%	3.1%	^e
Oxcarbazepine	89	16	27%	n/a	5.6%	[2]
Duloxetine	116	12	27%	30%	n/a	[14]
Pregabalin-149	97	12	27%	30%	11.5%	[26] ^c
Venlafaxine	81	6	27%	34%	6.3%	[21]
Lamotrigine-30005	84	19	26%	23%	n/a	[28] ^a
Topiramate-001	136	22	25%	n/a	20%	[25]
Lacosamide-742	90	18	24%	24%	2.2%	^f
Duloxetine HMAV	108	12	24%	27%	4.6%	[30] ^b
Pregabalin-029	97	5	23%	18%	2.1%	[11] ^c
Lacosamide-743	74	18	23%	n/a	n/a	^g
Topiramate	109	12	22%	21%	14.6%	[15]
Gabapentin	81	8	22%	n/a	6.2%	[1]
Pregabalin-014	85	6	20%	15%	1.2%	[18] ^c
Oxycodone	77	6	22%	n/a	14.3%	[7]
Pregabalin-131	70	8	11%	15%	4.3%	[19] ^c
Gabapentin 9451008	189	14	n/a	24%	2.1%	^d
<i>PHN</i>						
Tramadol	63	8	44%	22%	n/a	[3]
Pregabalin-81004	90	4	25%	18%	4.4%	^d
Pregabalin-127	84	8	17%	20%	7.1%	[4] ^{ed}
Gabapentin	111	7	16%	14%	3.6%	[17]
Pregabalin-030	88	5	15%	17%	2.3%	^{cd}
Pregabalin-196	93	13	10%	8%	23.7%	[27] ^c
Gabapentin	116	8	8%	n/a	2.3%	[20]
Pregabalin-045	81	8	4%	10%	8.6%	[22] ^c
<i>Mixed</i>						
Lamotrigine-30010	109	14	33%	36%	6.3%	[24] ^a
Pregabalin-155	65	12	24%	24%	29.2%	[6] ^c
Gabapentin	152	8	14%	14%	3.3%	[23]

* The mean percentage change from baseline is reported if available, else the ratio of the mean change from baseline over the mean baseline score is reported as a percentage.

^a Available at <http://ctr.gsk.co.uk/Summary/lamotrigine/studylist.asp> (accessed 13 May, 2008).

^b Available at http://www.lillytrials.com/results/by-product/results_cymbalta.html (accessed 13 May, 2008).

^c Lyrica European Public Assessment Report, 2004. Scientific discussion. Available at <http://www.emea.europa.eu/humandocs/PDFs/EPAR/lyrica/084504en6.pdf> (accessed 25 Jan., 2008).

^d Gabapentin and pregabalin trials available at <http://www.clinicaltrials.org/search/> (accessed 13 May, 2008).

^e Shaibani A, Kenney P, Simpson J, Bongardt S. Lacosamide in subjects with painful distal diabetic neuropathy: poster presentation Am Pain Soc, May 2006.

^f Wymer JP, Garrison C, Simpson J, Koch B. A multicenter, randomized, double-blind, placebo-controlled trial to assess efficacy and safety of lacosamide in subjects with painful distal diabetic neuropathy: poster presentation (A202) Am Neurol Assoc 131st meeting Oct. 2006.

^g Ziegler D, Bongardt S, Koch B, Thierfelder S. A multicenter, randomized, double-blind, placebo-controlled trial to assess efficacy and safety of lacosamide in subjects with painful distal diabetic neuropathy: poster presentation World Congress of Pain Aug. 2005.

international regulatory agencies and were consistent in design features, endpoints, analysis plans and patient selection criteria. Most clinical trials summarized here were in painful diabetic neuropathy (PDN) and post-herpetic neuralgia (PHN) populations.

2. Magnitude of placebo response

Table 1 shows the magnitude of the placebo response for the studies reviewed in terms of percentage reduction in pain score from baseline to study endpoint, proportion of responders with at least 50% reduction in pain

score from baseline at study endpoint and proportion of the population who discontinued for lack of efficacy. Mean pain score reduction ranged from 4% to 44% across all studies. In the PDN population the median magnitude of placebo response was 26% (weighted mean in the 5 trials of 12-week duration ($n = 546$) was 27%; range across all trials was 11–35%). For comparison, the median was 15–16% across all studies in the PHN population. However, the range of responses was a surprisingly wide 4–44%, as the placebo response has generally been thought to be low and more consistent between trials, although the range was skewed by 1 trial conducted within France. For studies with a mixed neuropathic pain population, the result was in between PHN and PDN, probably reflecting the fact that the majority of the population in these studies have PDN. The sample size in the placebo arms of these trials

should be sufficient to reflect the true average placebo response but the variability from one trial to another was still very large.

3. Variability of placebo response throughout the time-course of a trial

Fig. 1(A) shows the time-course of placebo response throughout the treatment period for the 17 trials in PDN, in which change from baseline data by time point was available. There was wide variability in response at each time point between trials and in many trials there was no indication that the placebo response was reaching a plateau even by 19 weeks. Trials conducted by the same sponsor (and in several cases contemporaneously and with identical designs) were no more consistent in placebo response than other trials.

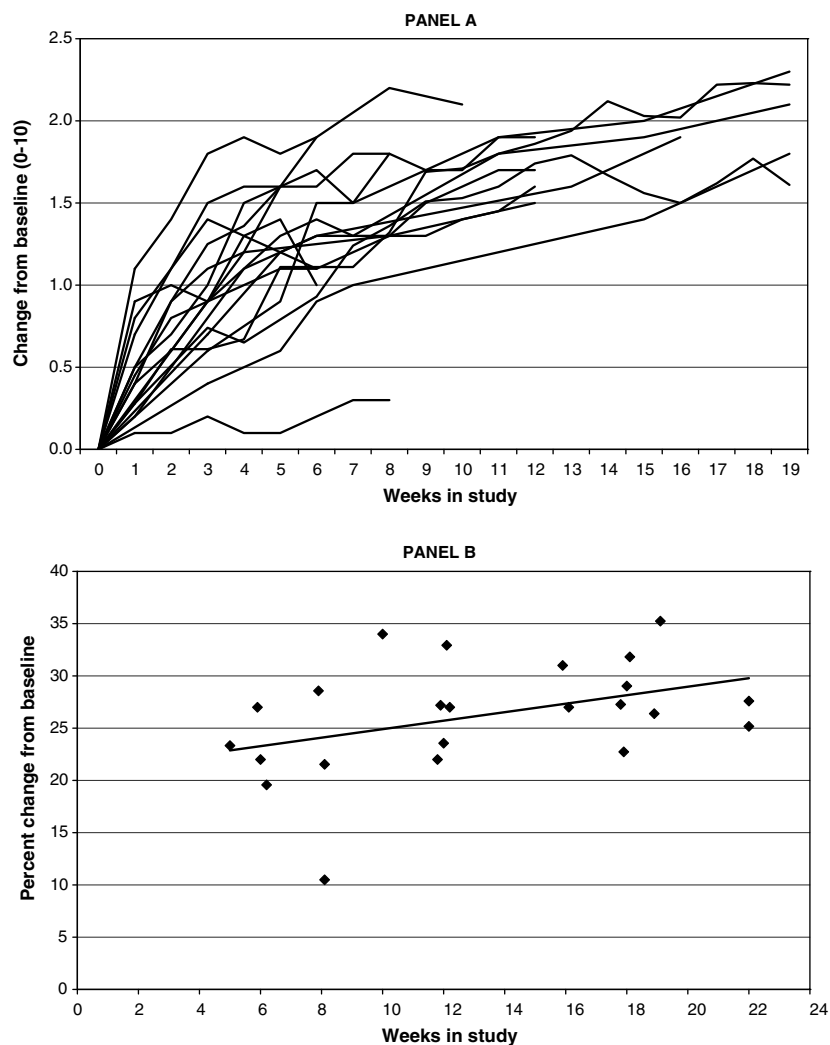


Fig. 1. Placebo response over time in study. (A) Change from baseline pain score (0–10) at time points over trial duration for 17 diabetic neuropathic pain trials for which datapoints were available. Actual data from sponsors were used if available else points were estimated from graphical presentations. VAS (0–100) scores were converted to a 0–10 scale. (B) Placebo response at study endpoint (for ITT, LOCF analyses) plotted against the duration of trial for the diabetic neuropathic pain trials listed in Table 1. The simple regression (solid line) indicates a trend for greater placebo response as trial length increases.

4. Variability in placebo response by the duration of trial treatment period

Fig. 1(B) shows the endpoint percentage pain score reduction data for PDN trials from Table 1, displayed by duration of the study. For a study of any given duration there is wide variability in magnitude of response range. There was a weak tendency for the placebo response to be greater in magnitude for longer term studies than in shorter term studies. If real, this has significant ramifications for designing long-term parallel design placebo-controlled trials of the type likely to be required for the approval of new treatments for neuropathic pain. The number of treatment arms ranged from 2 to 4 in these trials and although those with 4 arms appeared to have greater placebo response, these were also the trials with the longest duration, and the range of responses was wide and near overlapping. There was no obvious difference in those studies ($n = 9$) using prolonged titrations compared with those without titration phases.

5. Has the placebo response changed in recent years?

The placebo response was evaluated by year in which the trial was reported to be initiated (for 6/24 trials this information was not available and it was assumed to be 3 years prior to submission for publication). The trials were conducted between 1996 and 2006. Across all PDN trials, there was a tendency for increasing placebo response since 1996 but if the response was corrected for the duration of trial there was no tendency for a change over time. In fact, in the 5 trials of 12-week duration, if anything, the placebo response decreased in more recent trials. This finding appears to be in contrast to observations of a drift toward increasing placebo response in more recent trials in depression [29].

6. How can the placebo response be managed?

Clearly, on average, the patients assigned placebo do well just by being in a clinical trial – pain scores were reduced substantially, a reasonable number of participants met an accepted definition of responder and very few elected to drop-out of the study for lack of efficacy. This is good for subjects but a problem for designing a study to separate test drug from placebo. Much has been written about factors that may underlie success in separating drug response from placebo, including use of a placebo run-in period, discontinuation of prior analgesic treatments, flexible dosing rather than fixed dose assignments, exclusion of subject with mild pain at baseline and specificity of the pain score instrument [10,25,28]. Attempts to incorporate a single-blind placebo run-in period to cull out ‘placebo responders’ before starting the test drug have not been very successful. The strategy

of eliminating all analgesic use prior to randomization thus far has only a slight beneficial impact on successful outcome [10] and presents ethical issues in those subjects to be randomized to placebo for a prolonged period of time. The fact is that the practical implementation of the large trials required to support the approval of drugs in chronic pain indications requires multicenter trials conducted in a diverse range of countries and cultures with unknown and probably uncontrollable expectations. In an analysis of placebo response in mostly acute pain trials, McQuay concluded that the largest determinant of placebo response is related to random factors [12]. It would therefore appear that trying to suppress the placebo response in a long-term parallel design seems counterproductive or even futile, especially if a blinded titration period is required and if drop-outs from the trial are considered as treatment failures. Do we need to design trials differently? Classical multi-period crossover trials have been attractive because of sample size efficiency. However, if each treatment period needs to be 12 or more weeks in each cycle, the analysis becomes problematic if diminishing numbers of subjects complete all periods in the trial and drop-outs are analyzed as treatment failures. Trials of drugs for the treatment of psychiatric disorders have successfully used the randomized withdrawal design. In this circumstance, the benefits of being in a clinical trial and the ‘placebo’ effect are put to good use such that subjects achieve maximum pain relief from open-label drug prior to randomization and then the durability of a true treatment effect is tested under randomized, controlled and blinded conditions in those subjects who have met prespecified response targets. This has been recently advocated as an alternative design for analgesic clinical trials [13]. In this design scenario it should also be possible to mask (to both subject and assessor) the point at which the randomized switch occurs, but such a variation has not been adequately tested in long-term treatment trials.

7. Conclusion

In parallel randomization designs, we cannot rely on the placebo response to bottom out in a 4–5 week period. The evidence from placebo-controlled trials of >12-weeks duration using this design suggests that a placebo response continues beyond 12 weeks such that long-term trials run the risk of decreased separation of drug effect from placebo (assuming a maximum drug effect). The problem is magnified in trials in which a long titration period under blinded conditions is required and in which the analysis assigns trial dropouts as treatment failures. Efforts to enhance the observed treatment effect by suppressing the placebo response have generally not been successful and alternative trial designs need to be considered.

References

- [1] Backonja M, Beydoun A, Edwards KR, Schwartz SL, Fonseca V, Hes M, et al. Gabapentin for the symptomatic treatment of painful neuropathy in patients with diabetes mellitus: a randomized controlled trial. *JAMA* 1998;280:1831–6.
- [2] Beydoun A, Shaibani A, Hopwood M, Wan Y. Oxcarbazepine in painful diabetic neuropathy: results of a dose-ranging study. *Acta Neurol Scand* 2006;113:395–404.
- [3] Boureau F, Legallicier P, Kabir-Ahmadi M. Tramadol in postherpetic neuralgia: a randomized, double-blind, placebo-controlled trial. *Pain* 2003;104:323–31.
- [4] Dworkin RH, Corbin AE, Young Jr JP, Sharma U, Lamoreaux L, Bockbrader H, et al. Pregabalin for the treatment of postherpetic neuralgia: a randomized, placebo-controlled trial. *Neurology* 2003;60:1274–83.
- [5] Dworkin RH, Katz J, Gitlin MJ. Placebo response in clinical trials of depression and its implications for research on chronic neuropathic pain. *Neurology* 2005;65:S7–S19.
- [6] Freynhagen R, Strojek K, Griesing T, Whalen E, Balkenohl M. Efficacy of pregabalin in neuropathic pain evaluated in a 12-week, randomised, double-blind, multicentre, placebo-controlled trial of flexible- and fixed-dose regimens. *Pain* 2005;115:254–63.
- [7] Gimbel JS, Richards P, Portenoy RK. Controlled-release oxycodone for pain in diabetic neuropathy: a randomized controlled trial. *Neurology* 2003;60:927–34.
- [8] Goldstein DJ, Lu Y, Detke MJ, Lee TC, Iyengar S. Duloxetine vs. placebo in patients with painful diabetic neuropathy. *Pain* 2005;116:109–18.
- [9] Grosskopf J, Mazzola J, Wan Y, Hopwood M. A randomized, placebo-controlled study of oxcarbazepine in painful diabetic neuropathy. *Acta Neurol Scand* 2006;114:177–80.
- [10] Katz J, Finnerup NB, Dworkin RH. Clinical trial outcome in neuropathic pain. Relationship to study characteristics. *Neurology* 2008;70:268–72.
- [11] Lesser H, Sharma U, Lamoreaux L, Poole RM. Pregabalin relieves symptoms of painful diabetic neuropathy: a randomized controlled trial. *Neurology* 2004;63:2104–10.
- [12] McQuay HJ. Placebo and control event rate. In: McQuay HJ, Kalso E, Moore R, editors. *Systematic Reviews in Pain Research: Methodology Refined*. Seattle: IASP Press; 2008. p. 51–65.
- [13] McQuay HJ, Derry S, Moore RA, Poulain P, Legout V. Enriched enrolment with randomised withdrawal (EERW): time for a new look at clinical trial design in chronic pain. *Pain* 2008;135:217–20.
- [14] Raskin J, Wang F, Pritchett YL, Goldstein DJ. Duloxetine for patients with diabetic peripheral neuropathic pain: a 6-month open-label safety study. *Pain Med* 2006;7:373–85.
- [15] Raskin P, Donofrio PD, Rosenthal NR, Hewitt DJ, Jordan DM, Xiang J, et al. Topiramate vs placebo in painful diabetic neuropathy: analgesic and metabolic effects. *Neurology* 2004;63:865–73.
- [16] Rauck RL, Shaibani A, Biton V, Simpson J, Koch B. Lacosamide in painful diabetic peripheral neuropathy: a phase 2 double-blind placebo-controlled study. *Clin J Pain* 2007;23:150–8.
- [17] Rice AS, Maton S. Gabapentin in postherpetic neuralgia: a randomised, double blind, placebo controlled study. *Pain* 2001;94:215–24.
- [18] Richter RW, Portenoy R, Sharma U, Lamoreaux L, Bockbrader H, Knapp LE. Relief of painful diabetic peripheral neuropathy with pregabalin: a randomized, placebo-controlled trial. *J Pain* 2005;6:253–60.
- [19] Rosenstock J, Tuchman M, Lamoreaux L, Sharma U. Pregabalin for the treatment of painful diabetic peripheral neuropathy: a double-blind, placebo-controlled trial. *Pain* 2004;110:628–38.
- [20] Rowbotham M, Harden N, Stacey B, Bernstein P, Magnus-Miller L. Gabapentin for the treatment of postherpetic neuralgia: a randomized controlled trial. *JAMA* 1998;280:1837–42.
- [21] Rowbotham MC, Goli V, Kunz NR, Lei D. Venlafaxine extended release in the treatment of painful diabetic neuropathy: a double-blind, placebo-controlled study. *Pain* 2004;110:697–706.
- [22] Sabatowski R, Galvez R, Cherry DA, Jacquot F, Vincent E, Maisonobe P, et al. Pregabalin reduces pain and improves sleep and mood disturbances in patients with post-herpetic neuralgia: results of a randomised, placebo-controlled clinical trial. *Pain* 2004;109:26–35.
- [23] Serpell MG. Gabapentin in neuropathic pain syndromes: a randomised, double-blind, placebo-controlled trial. *Pain* 2002;99:557–66.
- [24] Silver M, Blum D, Grainger J, Hammer AE, Quessy S. Double-blind, placebo-controlled trial of lamotrigine in combination with other medications for neuropathic pain. *J Pain Symptom Management* 2007;34:446–54.
- [25] Thienel U, Neto W, Schwabe SK, Vijapurkar U. Topiramate in painful diabetic polyneuropathy: findings from three double-blind placebo-controlled trials. *Acta Neurol Scand* 2004;110:221–31.
- [26] Tolle T, Freynhagen R, Versavel M, Trostmann U, Young Jr JP. Pregabalin for relief of neuropathic pain associated with diabetic neuropathy: a randomized, double-blind study. *Eur J Pain* 2008;12(2):203–13.
- [27] van Seventer R, Feister HA, Young Jr JP, Stoker M, Versavel M, Rigaudy L. Efficacy and tolerability of twice-daily pregabalin for treating pain and related sleep interference in postherpetic neuralgia: a 13-week, randomized trial. *Curr Med Res Opin* 2006;22:375–84.
- [28] Vinik AI, Tuchman M, Safirstein B, Corder C, Kirby L, Wilks K, et al. Lamotrigine for treatment of pain associated with diabetic neuropathy: results of two randomized, double-blind, placebo-controlled studies. *Pain* 2007;128:169–79.
- [29] Walsh BT, Seidman SN, Sysko R, Gould M. Placebo response in studies of major depression: variable, substantial, and growing. *JAMA* 2002;287:1840–7.
- [30] Wernicke JF, Pritchett YL, D'Souza DN, Waninger A, Tran P, Iyengar S, et al. A randomized controlled trial of duloxetine in diabetic peripheral neuropathic pain. *Neurology* 2006;67:1411–20.